

Charitable Investment Advisor Program

Alternative Investment Transaction Request Form

1. Advisor Information

Advisor Firm Name	
Advisor Contact Name	
Advisor Contact Phone Number	Advisor Email Address
Account Holder Name	
Giving Account* Name	Giving Account* Number

2. Asset Information

Redemption Notes:

- Redemption requests must be submitted on this form to Fidelity Charitable® via GivingCentral® a minimum of three business days prior to the document deadline to be processed.
- Fidelity Charitable® will request all supporting documentation via email once this form has been received and reviewed.
- Redemption requests are irrevocable.
- Fidelity Charitable is not responsible for determining in advance whether a request may be rejected by the fund company due to fund restrictions, limitations, or gates including but not limited to redemptions requested prior to satisfying a lockup or transactions that do not meet stated minimums.
- Fidelity Charitable is not responsible for determining in advance whether there will be any embedded fees associated with the request (e.g., early redemption fees).
- Timing of the receipt of proceeds is based on the terms of the fund.
- Fidelity Charitable will only accept redemption instructions for the next available redemption window, and no more than one (1) month in advance of the required notice period.

Subscription Notes:

- Recommendations to invest in new fund positions are subject to approval by the Trustees of Fidelity Charitable, in their sole discretion.
- New subscription requests must be submitted to Fidelity Charitable via GivingCentral® using this form six weeks¹ prior to deadline. Requests received less than six weeks prior to deadline will be processed with best efforts.
- Fidelity Charitable will request all supporting documentation listed below via email once the form has been received and processed:
 - Fund offering document (e.g., Private Placement Memorandum, Confidential Offering Memorandum)
 - Subscription Agreement
 - Audited financial statements of the fund
 - Most recent fund performance report
- Requests for additional subscriptions in previously-invested funds must be submitted to Fidelity Charitable via GivingCentral six business days prior to the document deadline. Additional supporting documentation such as the subscription form will be requested by Fidelity Charitable via email once this form has been received and reviewed.
- The advisor is responsible for making cash available in the managed brokerage account to fund accepted subscriptions and to fund future capital calls.

3. Required Supporting Documentation

Upload this form to GivingCentral. Once received, the Fidelity Charitable Alternative Investment team will email you to request the following:

- Alternative Investments LOU (signed by donor, only required once per donor)
- Brief rationale from the CIAP Advisor as to why this fund should be considered within the Giving Account
- Private Placement Memorandum (“PPM”) or Offering Memorandum
- Subscription document (blank copy)
- Limited Partnership Agreement (“LPA”) if applicable
- Recent performance/fact sheet if available
- Due Diligence Questionnaire (“DDQ”) if available
- Audited financial statements with auditor letter (if fund has more than one year of history)
- An auditor engagement

Form continues on next page. ►►





4. Transaction Recommendations

Please consider the transaction recommendation(s) below for the stated advisor-managed brokerage account(s):

	Asset Name	Fidelity Charitable Brokerage Account Number	Dollar Amount (\$), Share Amount, or Percentage of Position	Effective Date ²	Subscription or Redemption
1					<input type="checkbox"/> Subscription <input type="checkbox"/> Redemption
2					<input type="checkbox"/> Subscription <input type="checkbox"/> Redemption
3					<input type="checkbox"/> Subscription <input type="checkbox"/> Redemption
4					<input type="checkbox"/> Subscription <input type="checkbox"/> Redemption
5					<input type="checkbox"/> Subscription <input type="checkbox"/> Redemption

- Check yes or no. Yes No An Account Holder, a family member³ of an Account Holder, or a related entity⁴ of an Account Holder or family member of an Account Holder has an ownership interest in the investment(s) recommended above.
- Yes No Ownership in the investment recommendation(s) made above would result in direct or indirect compensation to an Account Holder, a family member of an Account Holder, or a related entity of an Account Holder or family member of an Account Holder, including via ownership in a fund management company or general partner.

5. Signature and Date

By signing below, you:

- Certify that you have read the current *Fidelity Charitable Program Guidelines* and the *Charitable Investment Advisor Program Investment Policies and Guidelines* and agree to the terms and conditions described therein.
- Acknowledge that Fidelity Charitable must be advised in advance of, and may require Account Holders to provide additional certifications and disclosures in evaluating, investment recommendations:
 - in which an Account Holder, a family member³ of an Account Holder, or a related entity⁴ of an Account Holder or family member of an Account Holder has an ownership interest; or,
 - which would result in direct or indirect compensation to an Account Holder, a family member of an Account Holder, or a related entity of an Account Holder or family member of an Account Holder.
- Certify that to the best of your knowledge all information presented in connection with this form is accurate.
- Agree to promptly notify Fidelity Charitable in writing of any changes.

Authorized Advisor Name	
Authorized Advisor Signature	Date MM-DD-YYYY
SIGN ▶	▶

Submission Instructions:
 Please upload this form to GivingCentral. Forms submitted via email will not be processed. Once received, Fidelity Charitable will reach out to you via email to confirm receipt and request additional supporting documents. Questions about Alternative Investments can be directed to FCALTS@fmr.com, or for assistance logging in to GivingCentral, please call 800-262-6935.

¹New fund review times vary but can take up to six weeks. To ensure proper processing, Fidelity Charitable suggests submitting new fund requests six weeks before the document deadline.

²Recommendation will be submitted for next available date unless otherwise specified.

³A family member is a spouse; sister or brother (including half-sisters and half-brothers); parent, grandparent, or great-grandparent; child, grandchild, or great-grandchild; or a spouse of a sister, brother, child, grandchild, or great-grandchild.

⁴A related entity is any entity in which any Account Holder or his or her family members collectively own more than 35% of the total outstanding interests.

Fidelity Charitable is the brand name for the Fidelity Investments® Charitable Gift Fund, an independent public charity with a donor-advised fund program. Various Fidelity companies provide services to Fidelity Charitable. The Fidelity Charitable name and logo, Fidelity and GivingCentral are registered service marks of FMR LLC, used by Fidelity Charitable under license. Giving Account is a registered service mark of the Trustees of Fidelity Charitable. 746704.5.0

